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PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEES TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 130.00)

Complete if Known

| | | |
|----------------------|------------------------|--|
| Application Number | 10/038,023 | |
| Filing Date | 01/02/2002 | |
| First Named Inventor | Gregory C. Kime et al. | |
| Examiner Name | Not assigned | |
| Group Art Unit | Not assigned | |
| Attorney Docket No. | 42390P12859 | |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

02-2666

Deposit
Account
Name

Blakely Sokoloff Taylor & Zafman LLP

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money
Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$) Fee Code (\$) Fee Description

101 710 201 355 Utility filing fee

106 320 206 160 Design filing fee

107 490 207 245 Plant filing fee

108 710 208 355 Reissue filing fee

114 150 214 75 Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$ 0-)

2. EXTRA CLAIM FEES

| | | | | | |
|--------------------|----------------------|------------------------------|----------------------|----------------------|-----------------|
| Total Claims | <input type="text"/> | -20** = <input type="text"/> | <input type="text"/> | <input type="text"/> | Fee Paid |
| Independent Claims | <input type="text"/> | - 3** = <input type="text"/> | <input type="text"/> | <input type="text"/> | Fee Paid |
| Multiple Dependent | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> | Fee Paid |

Large Entity Small Entity

Fee Code (\$) Fee Code (\$) Fee Description

103 18 203 9 Claims in excess of 20

102 80 202 40 Independent claims in excess of 3

104 270 204 135 Multiple dependent claim, if not paid

109 80 209 40 ** Reissue independent claims over original patent

110 18 210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0-)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee | Fee Description | Fee Paid |
|--------------------------------|--------------------------------|--|-----------------|---------------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | | 130.00 |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | | |
| 139 130 | 139 130 | Non-English specification | | |
| 147 2,520 | 147 2,520 | For filing a request for ex parte reexamination | | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | | |
| 115 110 | 215 55 | Extension for reply within first month | | |
| 116 390 | 216 195 | Extension for reply within second month | | |
| 117 890 | 217 445 | Extension for reply within third month | | |
| 118 1,390 | 218 695 | Extension for reply within fourth month | | |
| 128 1,890 | 228 945 | Extension for reply within fifth month | | |
| 119 310 | 219 155 | Notice of Appeal | | |
| 120 310 | 220 155 | Filing a brief in support of an appeal | | |
| 121 270 | 221 135 | Request for oral hearing | | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | | |
| 140 110 | 240 55 | Petition to revive - unavoidable | | |
| 141 1,240 | 241 620 | Petition to revive - unintentional | | |
| 142 1,240 | 242 620 | Utility issue fee (or reissue) | | |
| 143 440 | 243 220 | Design issue fee | | |
| 144 600 | 244 300 | Plant issue fee | | |
| 122 130 | 122 130 | Petitions to the Commissioner | | |
| 123 130 | 123 130 | Petitions related to provisional applications | | |
| 126 180 | 126 180 | Submission of Information Disclosure Stmt | | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | | |
| 146 710 | 246 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| 149 710 | 249 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| 179 710 | 279 355 | Request for Continued Examination (RCE) | | |
| 169 900 | 169 900 | Request for expedited examination of a design application | | |
| Other fee (specify) _____ | | | | |

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$ 130.00)**

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|-----------------------|--------------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Randol W. Read | Registration No. (Attorney/Agent) | 43,876 | Telephone | 512/330-0844 |
| Signature | <i>Randol W. Read</i> | | | Date | 2-19-02 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/038,023 | 01/02/2002 | Gregory C. Kime | 42390P12859 |

008791
BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR
LOS ANGELES, CA 90025



CONFIRMATION NO. 7085

FORMALITIES LETTER



OC000000007440569

Date Mailed 02/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)) Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

130.00 OP
00000005 10038023

03/08/2002 JADD01
01 FC:105

A copy of this notice MUST be returned with the reply.

Samuel J. Kime

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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